



# About Elkhorn

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## Designed with Purpose

**ELKHORN** is an independent investment solutions firm creating research-based financial products. By rethinking the relationship between investment strategy and product structure, Elkhorn designs, sponsors and distributes products that give advisors access to distinguished research in a wide range of investment structures. Through Elkhorn, advisors can access world class research, such as Dorsey, Wright & Associates, Research Affiliates, S&P Dow Jones Indices and Nasdaq in a variety of structures including exchange-traded funds (ETFs), separately managed accounts (SMAs), closed-end funds (CEFs), structured products, and unit investment trusts (UITs). Our vision at Elkhorn is to pioneer the next chapter of investing by designing investment products with purpose.

Leading Elkhorn into this next chapter of investing is our founder and CEO, Ben Fulton. Widely considered a pioneer of the ETF industry, Ben has been contributing to the definitive history of the global financial services industry for over 30 years. Over his career, Ben has created products that have gathered more than \$100 billion in assets. Prior to forming Elkhorn, Ben served as Managing Director of Global ETFs at PowerShares, growing the firm into the fourth largest ETF issuer in the world.

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## Strategic Partners

Elkhorn has formed strategic partnerships with Barclays and Tom Dorsey. These partners share entrepreneurial histories with Elkhorn and will help expand product development and distribution capabilities to better meet clients' needs.



Elkhorn and Barclays have created a strategic partnership to offer a greater range of Barclays' innovative investment content to investors through a range of accessible formats. With 325 years of history and expertise in banking, Barclays operates in over 50 countries and employs over 130,000 people.



Tom Dorsey, president of Dorsey Holdings and founder of Dorsey, Wright & Associates, is a strategic stakeholder in Elkhorn. Tom has taught the Point & Figure methodology throughout the world and is the author of numerous books, including Point & Figure Charting: The Essential Application for Forecasting and Tracking Market Prices.



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## Research Partners

Elkhorn has developed relationships with key third-party research partners to provide access to world-class research not formerly available to advisors.



**DORSEY, WRIGHT & ASSOCIATES** (DWA) is a registered investment advisory firm based in Richmond, Virginia. Since 1987, Dorsey Wright has been a leading advisor to financial professionals on Wall Street and around the world. In 2015, they became a subsidiary of Nasdaq in order to provide even greater innovative solutions to their clients. DWA's expertise is Point & Figure technical analysis, using Relative Strength as a core tactical engine for constructing its managed products and research platform that is distributed widely within the industry. DWA currently has over \$13 billion tracking their investment strategies, indexes, and models.



**RESEARCH AFFILIATES** is an asset allocation firm based in Newport Beach, California. Founded in 2002, Research Affiliates has become a global leader in innovative indexing. The firm is built on a strong research base, led by Rob Arnott, Jason Hsu, and Chris Brightman. As of June 30, 2015, over \$174 billion in assets are managed worldwide using investment strategies developed by Research Affiliates. Research Affiliates is committed to being the preeminent source of insights and products that transform the global investment community for the benefit of investors.



**S&P DOW JONES INDICES** is the world's largest, global resource for index-based concepts, data and research. Home to iconic financial market indicators, such as the S&P 500® and the Dow Jones Industrial Average<sup>SM</sup>, S&P Dow Jones Indices has over 115 years of experience constructing innovative and transparent solutions that fulfill the needs of institutional and retail investors. More assets are invested in products based upon their indices than any other provider in the world. With over 830,000 indices covering a wide range of asset classes across the globe, S&P Dow Jones Indices LLC defines the way investors measure and trade the markets.

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